

Level 2 Electric Vehicle (EV) Charging Rebate Program

A Driving PA Forward Funding Program



pennsylvania

DEPARTMENT OF ENVIRONMENTAL
PROTECTION

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I. Funding Opportunity Description

A. Background

Reducing emissions from diesel engines is one of the most significant air quality challenges facing the Commonwealth of Pennsylvania. To help Pennsylvania meet its diesel emissions reduction goals, the Wolf Administration has developed new grant and rebate programs under the Driving PA Forward initiative to improve air quality in Pennsylvania and drive transformation from older, polluting diesel engines to clean technologies. New engine technologies like electric, compressed natural gas, propane, and clean diesel can significantly reduce pollutants from freight and delivery trucks, transit and school buses, cargo handling equipment, ocean going vessels in port, forklifts, tugs, and freight switchers that rely on older diesel technology.

The goal of the Governor's initiative is to permanently reduce NO_x emissions by as much as 27,700 tons. Of the mobile source NO_x emissions, diesel sources, including aviation, marine, and rail sources, account for approximately 61% of the emissions.

The Level 2 Electric Vehicle (EV) Charging Rebate Program is one of the Driving PA Forward financial incentive programs that the PA Department of Environmental Protection (DEP) has developed to fund Eligible Mitigation Actions (EMAs) from Pennsylvania's \$118.5 million allocation from the State Mitigation Trust, resulting from the emissions cheating lawsuit settlement.¹ Pennsylvania will have at least 10 years to use its allocation of the Trust to fund EMAs. All expenditures from the State Mitigation Trust will require the approval of the Trustee.²

B. Scope of Work

The primary goal of the Level 2 Electric Vehicle (EV) Charging Rebate Program is to improve Pennsylvania's air quality by reducing oxides of nitrogen (NO_x) emissions. The Level 2 EV Charging Rebate Program will accomplish this by spurring widespread investment into EV charging infrastructure across Pennsylvania. Expanding the availability of EV charging opportunities and networks is expected to accelerate consumer and fleet adoption of battery electric and plug-in hybrid electric light-duty vehicles, thereby resulting in air quality improvement. DEP encourages projects located in priority areas, in accordance with DEP's Beneficiary Mitigation Plan. Areas qualifying as priority for funding will be identified in the application instructions. DEP anticipates awarding a minimum of 70 percent of the available funds to projects in priority areas.

¹ A \$2.866 billion environmental mitigation trust (State Mitigation Trust) was established by the Environmental Mitigation Trust Agreement for State Beneficiaries filed by the United States (U.S.) Department of Justice, with the U.S. District Court for the Northern District of California on October 2, 2017, in the case, *In Re: Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation* (No. 3:15-md-02672-CRB (N.D. Cal.), MDL No. 2672). Additional information about the case and the settlement are available on DEP's website.

² A Trustee, Wilmington Trust, N.A., was approved by the Court on March 15, 2017, to administer the State Trust Agreement and disburse the funds from the State Mitigation Trust.

The maximum allowable 15 percent of Pennsylvania’s State Mitigation Trust allocation, approximately \$17.7 million, will be used to fund light-duty zero emission vehicle supply equipment (ZEVSE) projects. DEP will implement two incentive programs using this funding: a rebate for Level 2 electric vehicle supply equipment (EVSE), and a competitive grant program for DC Fast Charging and hydrogen fuel cell vehicle fueling projects. These guidelines are specific to the Level 2 EV Charging Rebate Program.

II. Applicant Eligibility

A. Eligible Applicants

Organizations that own or operate an eligible location may apply for a Level 2 EV Charging Rebate. Eligible applicants include:

1. Businesses – corporations, partnerships, sole proprietorships, limited liability companies, business trusts or other legal business entities incorporated in or registered with the Pennsylvania Department of State, Bureau of Corporations and Charitable Organizations, to do business in the Commonwealth.
2. Incorporated Nonprofits – An organization incorporated as a non-profit under Pennsylvania law or registered with the Pennsylvania Department of State, Bureau of Corporations and Charitable Organizations, to do business in the Commonwealth.
3. State, Local, or Tribal Government Agencies – a State or local government agency (including a school district, municipality, city, county, special district, transit district, joint powers authority, or port authority, owning fleets purchased with government funds), and a tribal government or native village. The term ‘State’ means the several States, the District of Columbia, and the Commonwealth of Puerto Rico.
4. Air Quality or Transportation Organizations – Local, regional or multi-state air quality or transportation organizations that include a Pennsylvania state government agency, a municipal government, or a municipal authority as a member, and
 - a. own or operate a diesel fleet located or operating predominately in Pennsylvania, or
 - b. have partnered with or is acting as a project manager for another eligible entity listed in this section.
5. Metropolitan or Rural/Regional Transportation Planning Organizations – Organizations as defined by the U.S. Department of Transportation at 49 U.S.C. § 5303(b) that are located in Pennsylvania.
6. Federal Government Agencies – Federal agencies that have custody, control, or management of land (including, but not limited to, Clean Air Act Class I and II areas) within or contiguous to the territorial boundaries of the Commonwealth.

B. Ineligible Applicants

1. Businesses not incorporated in or registered with the Pennsylvania Department of State, Bureau of Corporations and Charitable Organizations, to do business in the Commonwealth.
2. Individuals applying as individuals, not on behalf of an eligible applicant. Please note, sole proprietors are eligible to apply as a business.

C. Eligible Locations

1. Publicly accessible government-owned property
2. Publicly accessible non-government owned property
3. Workplace property accessible to employees but not publicly accessible
4. Multi-unit dwelling (MUD) property accessible to residents but not publicly accessible, where MUD refers to two or more individual residential housing units within a single building. This includes, but is not limited to, apartment buildings and condominiums, and excludes individually owned townhouses, rowhouses and mobile homes.

III. Level 2 EV Charging Rebate Information

A. Available Funding

The Level 2 EV Charging Rebate Program is funded through Pennsylvania’s allocation from the State Mitigation Trust. The calendar year (CY) 2018 funding available under the Level 2 EV Charging Equipment Program is \$3,000,000. DEP will reevaluate the program requirements and rebate levels following the issuance of \$3 million in vouchers, or by March 31, 2019, whichever occurs first. Applications for rebates will be accepted, reviewed and approved on a first-come, first-served basis.

The Level 2 EV Charging Rebate Program will provide rebates either as a maximum dollar amount or as a percentage of project costs, whichever is less. The program will provide maximum rebates of \$5,000 per plug for publicly-accessible charging projects, and maximum rebates of \$4,000 per plug for non-publicly accessible projects. Please note that the per-plug amounts are not fixed for every project. The final rebate amount for a project may also be capped at a percentage of final project costs as identified in Tables 1 and 2. Rebates can be applied to project costs directly connected to equipment acquisition, installation, operation and maintenance (see Section IV.D. for a list of eligible project costs). The final rebate amount will ultimately be determined by the per-plug rebate amount multiplied by the number of plugs, or percent of total project cost, whichever is less.

Table 1. Rebate Amounts for Projects on Government-Owned Property

<u>Project Type</u>	<u>Maximum Rebate per Plug</u>	<u>OR</u> <u>(whichever is less)</u>	<u>Maximum % of Total Project Cost</u>
Public Access, Networked	\$5,000	or	100%
Public Access, Non-Networked	\$5,000	or	90%
No Public Access	\$4,000	or	60%

**Table 2. Rebate Amounts for Projects on Non-Government-Owned Property
(Workplace & Multi-Unit Dwellings)**

<u>Project Type</u>	<u>Maximum Rebate per Plug</u>	<u>OR</u> <u>(whichever is less)</u>	<u>Maximum % of Total Project Cost</u>
Public Access, Networked	\$5,000	or	80%
Public Access, Non-Networked	\$5,000	or	70%
No Public Access	\$4,000	or	60%

For purposes of this rebate program, public access requires a minimum of 80 hours of availability per week to the public without restriction, while the term networked refers to a combination of EVSE components and software that allows for centralized management, administration, communication, diagnostics, and data collection.

Networked projects must maintain a minimum of three years of network subscription and be capable of tracking and reporting charger usage data.

While there is no limit on the number of plugs per location, DEP will not issue more than \$100,000 in Level 2 EV Charging Rebate vouchers in total to any one entity at any time. If an applicant has reached the \$100,000 voucher maximum, regardless of the number of vouchers issued, the applicant may submit additional rebate applications only after DEP approves the redemption of the vouchers previously issued.

The Level 2 EV Charging Rebate Program may be combined with other such rebates or subsidies to receive multiple benefits for the same charging project. However, other rebates or subsidies received or expected to be received for the project must be subtracted from the project cost prior to determining the final rebate amount available under this program. This policy does not extend to the use of federal tax credits the applicant may receive for the project.

B. Project Period

Level 2 EV Charging Rebate Program applications must be approved by DEP prior to project installation. Following DEP approval, the rebate applicant will be issued a voucher that secures the approved rebate amount for 180 days. The voucher recipient must complete the project and provide all required documentation prior to the expiration date for the rebate payment request to be processed.

Applicants with vouchers that reach the expiration date prior to project completion and submission of final documentation may reapply for a new voucher. DEP does not guarantee that a new voucher will be issued. If a new voucher is issued, retroactive project costs going back to the original application date may be considered.

C. Funding Type

The Level 2 EV Charging Rebate Program is offering funding as a rebate / voucher program. This means a rebate / voucher recipient will pay all project costs and submit proof that project invoices have been paid, proof of project work completion, and other documentation with a voucher redemption request to DEP. If DEP approves the voucher redemption request, DEP will submit the rebate request to the Trustee for direct payment to the voucher holder. DEP will not approve voucher redemption requests for unpaid invoices. Detailed invoice requirements and voucher redemption submission instructions will be included in the notification message to successful applicants.

D. Public Disclosure of Application Documents

Level 2 EV Charging Rebate Program applications and supporting documentation are public documents and subject to disclosure to the public upon request. Please note that all documentation and records used to support a funding request submitted to the Trustee of the State Mitigation Trust, and all documentation and records in support of all expenditures of State Mitigation Trust funds, are required to be made publicly available, subject to applicable laws governing the publication of confidential business information and personally identifiable information. This may include information from the application and attachments, or voucher redemption request documentation submitted by successful applicants. Directions are provided in the Application Instructions for this program on how to format and submit any information that the applicant wishes the Commonwealth to consider as proprietary or confidential.

IV. Program requirements

A. Project Requirements

1. Level 2 Electric Vehicle Supply Equipment (EVSE) only.
2. Minimum of 2 plugs per project. No maximum.
3. A separate application is required for each unique charging location (address).
4. Projects must be installed by a qualified licensed professional according to all federal, state, and local rules, including applicable permitting and inspection requirements.
5. Chargers must be maintained and operated for a minimum of three years from the date of project completion.
6. Project installation costs incurred prior to the date of the application are not eligible (with exclusions for applicants that re-apply for projects previously approved).
7. Rebate recipients will be required to submit one year of charger utilization data for each of the three years after project completion. See Section VIII for more detail on reporting requirements.
8. Rebate recipients for public access projects will be required to register the location with the Alternative Fuel Data Center station locator tool at www.afdc.energy.gov/.

B. Equipment Requirements

1. EVSE must be new. Previously installed or rebuilt equipment is ineligible.
2. EVSE must be capable of providing electric power at each plug at a minimum 7.2 kW (240V @ 30A).
3. All charging ports must use SAE J1772 compliant plugs.

4. Charging equipment must be certified through the Nationally Recognized Testing Laboratory (NRTL) program to demonstrate compliance with appropriate product safety test standards. Underwriter's Laboratory (UL) is part of the NRTL program. The complete list of accredited NRTLs can be found online:
<https://www.osha.gov/dts/optca/nrtl/nrtllist.html>.
5. For public access projects that require customer payment, more than one form of common payment option must be accepted.
6. EVSE must have a minimum one-year manufacturer's warranty.

C. Site Requirements

1. Charging sites must be located within the Commonwealth of Pennsylvania to be eligible for a rebate.
2. If the property / site is not owned by the applicant, the rebate applicant must provide a signed letter from the landowner indicating approval of the project.
3. Projects must include at least one designated and clearly marked EV parking space per plug.
4. Public access sites must be clearly identified with signage that directs users to the site and appropriate parking spaces.
5. Public access sites must be easily accessible and adequately lit.
6. Public access sites must be available for use by the public for at least 80 hours per week without access restrictions.

D. Project Costs

1. Eligible Projects Costs – All project costs must be necessary for, and directly connected to, the acquisition, installation, operation, and maintenance of the EV charging system. Project costs may include, but are not limited to, the following:
 - a. EVSE purchase cost
 - b. EVSE installation costs
 - c. Electric service upgrades
 - d. Connecting EVSE to electrical service
 - e. Networking charges (maximum of 3 years, if paid in advance prior to voucher redemption)
 - f. EVSE maintenance contracts (maximum of 3 years, if paid in advance prior to voucher redemption)
 - g. Signage for public access projects
 - h. Lighting for public access projects
2. Ineligible Project Costs – DEP will not consider costs associated with the following:
 - a. Purchase or rental of real estate
 - b. Construction or general maintenance of buildings and parking facilities
 - c. Permit costs / fees
 - d. Administrative costs
 - e. Electric supply costs

V. How to Apply

- A. DEP will only accept rebate applications submitted through the Department of Community and Economic Development's (DCED) Electronic Single Application website, eGrants, at: <https://www.esa.dced.state.pa.us/Login.aspx>. Please see the Level 2 EV Charging Rebate Program Application Instructions guide for detailed, step-by-step instructions for registering in eGrants and applying for the rebate.

- B. All applications will require the following information, at minimum, to be submitted via eGrants.
 1. Applicant name, address, EIN, contact information
 2. Project location
 3. Project type
 - a. Public access, networked
 - b. Public access, non-networked
 - c. Non-public access
 4. Location/address of the project
 5. Location category
 - a. Public space
 - b. Workplace
 - c. Multi-unit dwelling
 6. Number of plugs and spaces
 7. Itemized project quotes or detailed engineer estimates (refer to Section IV.D. for eligible project costs)
 8. Charging unit information
 - a. Manufacturer
 - b. Model
 - c. Charging capacity in kW
 - d. Warranty period
 9. Identification of permits needed along with the issue or anticipated issue dates
 10. Identification of any additional rebates, grants, or other financial incentives applied for or received for the project.

Questions regarding the registration, access, and use of the eGrants application system should be directed to the DCED Customer Service Center at 1-800-379-7448 between the hours of 8:30 AM to 5:00 PM EST Monday through Friday.

Inquiries related to the project requirements, application requirements, and other aspects of this rebate program should be directed to Geoff Bristow, Energy Programs Office, by phone at 814-332-6681, or by e-mail to gbristow@pa.gov.

VI. Webinar Information

DEP staff will facilitate a webinar (web-based information session) for the CY 2018 Level 2 EV Charging Rebate Program on October 9, 2018 to provide general information about the rebate program and to answer any questions from attendees relating to the program, including

questions about the application and instructions. A link to register for the webinar is available on the Driving PA Forward website at <http://www.depgis.state.pa.us/DrivingPAForward/> under the ‘Level 2 EV Charging Rebate Program’ heading. Interested parties can also register for the webinar at <http://www.dep.pa.gov/dataandtools/webinars/pages/default.aspx>. The webinar will be recorded and posted online here: <http://www.dep.pa.gov/Business/Air/Volkswagen/Pages/Driving-PA-Forward-Grant-and-Rebate-Awards.aspx>.

VII. How to Redeem a Rebate Voucher

The rebate recipient must submit the information listed below following project completion to redeem the rebate voucher. Upon DEP approval of the final documentation, including final project report, DEP will apply to the Trustee of the State Mitigation Trust for direct rebate payment to the holder of the voucher. Due in part to the application processing time required by the Trustee, payment of the rebate may take up to 90 days. Submission instructions for redeeming the voucher will be included in the voucher packet provided to successful applicants. Required documentation:

- A. Final Rebate Calculation Worksheet
- B. Copy of invoices of all eligible project costs
- C. Proof of payment of all eligible project costs
- D. Two photos of each charger unit (one photo of the installed charger, and one photo of the charger’s serial number)
- E. Payee contact and bank wire information for payment from the Trustee (form provided)

VIII. Reporting Requirements

- A. All rebate recipients will be required to submit charger usage information annually for three (3) years following completion of the project, beginning one (1) year after project completion. DEP will identify the submission annual due dates and provide reporting instructions upon completion of the project.
- B. If a rebate recipient fails to submit the information by its due date, DEP will not review and may suspend other rebate applications and voucher redemption requests from that recipient until the reporting requirement is fulfilled.
- C. The information submitted to DEP will identify one year of charger utilization data. The following information will be requested from each project:
 - 1. Number of charging events
 - 2. Energy Consumed (average per session and annual total)
 - 3. Percent of time with an EV connected
 - 4. Percent downtime (time when station is unavailable due to routine maintenance or repair)
- D. Projects receiving rebates under the “public access, networked” category shall provide all the requested data while projects under other categories shall provide it if available. DEP

anticipates that varying levels of data may be available for other project types based on the level and type of software and hardware used in the project.